

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C.371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/889916INTERNATIONAL APPLICATION
PCT/DE00/04134INTERNATIONAL FILING DATE
21 November 2000 (21.11.00)PRIORITY DATE CLAIMED
24 November 1999 (24.11.99)**TITLE OF INVENTION METHOD AND DEVICE FOR THE PRODUCTION OF LIGHT-METAL PELLETS**APPLICANT(S) FOR DO EO US
Christian BOEHNKE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C.371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C 371(c)(2)) (**8 sheets**).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (**unexecuted**) (**3 sheets**)
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (**2 sheets**) with Form PTO-144((**1 sheet**) along with copies of references (**14 sheets**).
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
13. ☒ A FIRST preliminary amendment (**4 sheets**) with attached Version to Show Markings (**3 sheets**).
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: **Transmittal Letter with authorization to charge our Deposit Account in the amount of \$990.00 for filing fee based on Large Entity (2 sheets in duplicate); PCT International Published Application in German (WO 01/38021 A1) (with International Search Report) (20 sheets); Certificate of Express Mailing (1 sheet); and Return Postcard.**

09/889916

CALCULATIONS PROUSE ONLY

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) (a/o November 1, 2000):**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO.....\$1000

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO\$860

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.455(a)(2)) paid to USPTO\$710

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$690

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$860.00

\$130.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☒ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	9 -20 =	0	X \$18.00
Independent claims	1 -3 =	0	X \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 270.00

\$990.00

TOTAL OF ABOVE CALCULATIONS =

\$

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

SUBTOTAL =

\$990.00

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$990.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

\$

TOTAL FEES ENCLOSED =

\$990.00

Amount to be:
refunded

\$

charged

\$

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. **12-0080** in the amount of **\$990.00** to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit
any overpayment to Deposit Account No. **12-0080**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO
Anthony A. Laurentano, Esq.
LAHIVE & COCKFIELD, LLP
28 State Street
Boston, Massachusetts 02109
United States of America
(617) 227-7400
Date: 23 July 2001

SIGNATURE

Anthony A. Laurentano

NAME

38,220

REGISTRATION NUMBER

09/889916
JC17 Rec'd PCT/PTO 23 JUL 2001

**IN THE UNITED STATES PATENT DESIGNATED OFFICE (DO/US)
(National Phase of International App.: PCT/DE00/04134, W/O 01/38021 A1)**

In re the
application of: **Christian BOEHNKE**

International Application No.: **PCT/DE00/04134**

International Filing Date: **November 21, 2000**

U.S. Serial No.: **Not Yet Assigned**

Filed: **Herewith**

For: **METHOD AND DEVICE FOR THE
PRODUCTION OF LIGHT-METAL PELLETS**

Attorney Docket No.: **HHI-032US**

BOX PCT

Commissioner for Patents
Washington, D.C. 20231

Certification Under 37 CFR 1.10

I hereby certify that the attached:

Transmittal Letter with authorization to Charge Deposit Account in the amount of \$990.00 for filing fee (2 sheets in duplicate); English Translation of Application as filed (8 sheets); Unexecuted Declaration, Petition and Power of Attorney (3 sheets); Information Disclosure Statement (2 sheets); Form PTO-1449 (1 sheet) and copies of cited references (14 sheets); First Preliminary Amendment (4 sheets) (along with version of markings to show changes (3 sheets)); PCT International Published Application in German (WO 01/38021 A1) (with International Search Report) (20 sheets); Certificate of Express Mailing (1 sheet); and Return Postcard are being deposited by me with the United States Postal Service "Express Mail Post Office to Addressee" service, Mailing Label No. **EL683638245US**, under 37 CFR 1.10 on the date indicated below and is addressed to the Box PCT, Commissioner for Patents, Washington, D.C. 20231.

Date: 23 July 2001

Name: Larry Taylor

Signature: Larry Taylor